



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/027,193	12/20/2001	Michael L. Needham	CM03856H

22917  
MOTOROLA, INC.  
1303 EAST ALGONQUIN ROAD  
IL01/3RD  
SCHAUMBURG, IL 60196

COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 9719

FORMALITIES LETTER



\*OC000000007468558\*

Date Mailed: 02/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/15/2002 MBERHE 00000027 134772 10027193

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DOCKET NO.: CM03856H

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Michael L. Needham

APPLICATION NO.: 10/027,193

FILED: December 20, 2001

GROUP ART UNIT: 2681

TITLE: METHOD AND APPARATUS FOR CDMA-DISPATCH SOFT HANDOFF

Certificate of Mailing

Date of deposit:

2-27-02

I hereby certify that this paper is being deposited with the United States Postal Service on the date indicated above, as first-class mail, with sufficient postage attached thereto, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C.

*Tanya Scheuer*  
Signature of Person Mailing Paper

Tanya Scheuer

Printed Name of Person Mailing Paper

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Customer Service Center  
Initial Patent Examination Division

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

Responsive to the Notice to File Missing Parts of Application dated 2/13/02, please find enclosed:

- ☒ 5 pages Declaration Combined with Power of Attorney
- ☒ 1 page copy of Notice to File Missing Parts of Application
- ☒ 4 Pages Assignment and Agreement
- ☒ 1 Page Recordation Transmittal Sheet

The Commissioner is hereby authorized to charge all fees due to Account No. 13-4772. A fee transmittal is enclosed.

Respectfully submitted,

SEND CORRESPONDENCE TO:

CUSTOMER NUMBER 22917

By:

*Jeffrey K. Jacobs*  
JEFFREY K. JACOBS

Agent of Record

Reg. No.: 44,798

Telephone: 847-576-5562

Fax No.: 847-576-3750



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PTO/SB/21 (08-00)

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in this Submission

16

Application Number

10/027,193

Filing Date

December 20, 2001

First Named Inventor

Needham et al.

Group Art Unit

2681

Examiner Name

Unknown

Attorney Docket Number

CM03856H

### ENCLOSURES

(check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Reply

☐ After Final

☐ Affidavits/Declaration(s)

☐ Extension of time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Documents

☒ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing Parts  
Under 37 CFR 1.52 or 1.53

☒ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-Related papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation,  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CDs

☐ After Allowance

Communication to Group

☐ Appeal Communication to Board  
of Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter with appropriate copies

☒ Other Enclosure(s) (please identify below)

☒ 5 pages Declaration Combined with  
Power of Attorney

☐ sheet(s) formal drawings

☒ copy of Notice to File Missing  
Parts of Application

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or  
Individual

Jeffrey K. Jacobs

Registration No.

44,798

Signature

*Jeffrey K. Jacobs*

Date

February 27, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below:

Typed or printed name

Tanya Scheuer

Signature

*Tanya Scheuer*

Date

February 27, 2002



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<b>FEE TRANSMITTAL</b>  Patent fees are subject to annual revision		<b>Complete if Known</b>			
		Application Number	10/027,193		
		Filing Date	December 20, 2001		
		First Named Inventor	Needham et al.		
		Examiner Name	Unknown		
TOTAL AMOUNT OF PAYMENT		(\$)	170.00	Group Art Unit	2681
				Attorney Docket No.	CM03856H

<b>METHOD OF PAYMENT</b>	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:	
Deposit Account Number	13-4772
Deposit Account Name	Motorola, Inc.
<input checked="" type="checkbox"/> Charge Any Additional Fee required under 37 CFR 1.16 and 1.17	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
2. <input type="checkbox"/> Payment Enclosed:	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	

<b>FEE CALCULATION</b>				
1. BASIC FILING FEE				
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Paid
101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee
SUBTOTAL (1)				(\$)

2. EXTRA CLAIM FEES				
Total Claims	Previously Paid**	Extra Claims	Fee from below	Fee Paid
Independent Claims	20	3	18	
			84	
Multiple Dependent			280	
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	* Reissue independent claims over original patent
110	18	210	9	* Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$)
**OR NUMBER PREVIOUSLY PAID, IF GREATER THAN STANDARD ALLOWANCE.				
*For Reissues, see above				

3. ADDITIONAL FEES				
Large Entity	Small Entity	Fee	Fee	Fee Description
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late Provisional filing
139	130	139	130	Non-English specification
147	2520	147	2520	For filing a request for ex parte Reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1840*	113	1840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1440	218	720	Extension for reply within fourth month
128	1960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1510	138	1510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1280	241	640	Petition to revive - unintentional
142	1280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Processing fee under 37 CFR 1.17(a)
126	180	126	180	Submission of IDS
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application
Other fee (specify)				
* Reduced by Basic Filing Fee paid				SUBTOTAL (3)

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Jeffrey K. Jacobs	Registration No.	44,798
Signature		Telephone	847-576-5562
		Date	February 27, 2002